



**Christopher R. Cole**, CFA, is the Founder & CIO of Artemis Capital Management LP. Mr. Cole's core focus is systematic, quantitative, and behavioral based trading of volatility and derivatives. His decision to form a fund came after achieving significant proprietary returns during the 2008 financial crash trading volatility futures and options (verified by independent auditor). Cole's volatility research is highly influential in derivative and macro trading circles and widely quoted by the financial press. His 2012 research paper entitled, "Volatility at World's End" argued the equity options market was mispricing and hedging the wrong tail (left as opposed to right). The paper was credited with re-pricing long-dated volatility, and named one of the best macro-economic thought pieces of the last decade.



**Troy Dixon** is Founder and Chief Investment Officer of Hollis Park Partners. Troy has over 20 years of trading experience within structured products. Most recently, he served as a Managing Director and Head of RMBS Trading Group at Deutsche Bank where he led a top-ranked group of 70 investment professionals. While at Deutsche Bank, Troy was also a member of the Corporate Banking & Securities Americas Executive Committee and a member of the Rates and Credit Trading Executive Committee. Troy also served on the Securities Industry and Financial Markets Association's (SIFMA) RMBS Executive Board. Black Enterprise Magazine named Troy one of the "Top 75 Most Powerful Blacks on Wall Street" and one of the "Most Powerful Players Under 40." He was also named one of Investment Dealer's Digest's "Top 40 under 40." Troy sits on the boards of the Apollo Theater and Boys Hope Girls Hope. He earned his B.A. from The College of the Holy Cross where he was a varsity letter winner in football and baseball and currently sits on the President's Council.



**Lisa Emsbo-Mattingly** is a director of research in the Asset Allocation Research Team (AART) at Fidelity Investments, where she is responsible for leading the AART in conducting economic, fundamental, and quantitative research to develop asset allocation and macro investment recommendations for Fidelity's portfolio managers and investment teams. Prior to her current position, Ms. Emsbo-Mattingly was head of economic research, before which she served as an economic analyst responsible for developing econometric models of industry performance in the market. Before joining Fidelity in 1996, Ms. Emsbo-Mattingly was an economic analyst at Eastern Research Group and an economic analyst in the international forecasting division at DRI/McGraw-Hill (now IHS Global Insight). Ms. Emsbo-Mattingly earned her Bachelor of Arts in economics and government from Oberlin College and her Master's in economics from Boston University. She is the former president of the National Association for Business Economics and of the Boston Economic Club and the current head of the Conference of Business Economists.



**James Ganley** is a managing principal and member of the Investment Committee for CarVal Investors, responsible for leading the firm's investment strategy and management, as well as distressed corporate credit investments in the U.S. and in Europe. In addition, he leads risk and portfolio management across CarVal's credit funds and investments globally. Prior to joining CarVal in 2009, Mr. Ganley was a managing director for the Special Situations Group at Goldman Sachs in Europe where he focused primarily on corporate credit investments including distressed credit, high-yield credit, event-driven investing and liquidations. Mr. Ganley received his M.B.A. from the University of Chicago and his B.S. in finance and accountancy from Villanova University and is a Certified Public Accountant (inactive).



**Michael Green** has been a student of markets and market structure for nearly 30 years. His proprietary research into the shift from actively managed portfolios and investment funds to systematic passive investment strategies has been presented to the Federal Reserve, the BIS, the IMF and numerous other industry groups and associations. Michael joined Simplify in April 2021 after serving as Chief Strategist and Portfolio Manager for Logica Capital Advisers, LLC. Prior to Logica, Michael managed macro strategies at Thiel Macro, LLC. Prior to Thiel, Michael founded Ice Farm Capital, a discretionary global macro hedge fund seeded by Soros Family Management. From 2006-2014, Michael founded and managed the New York office of Canyon Capital Advisors, a \$23B multi-strategy hedge fund based in Los Angeles. Michael is a graduate of the Wharton School at the University of Pennsylvania and a CFA holder.



Prior to 400CM, **Chris Hentemann** was the Head of Global Structured Products at Banc of America Securities (“BAS”) where he led the strategy for lending, securitization, trading, sales, research and investment management of residential and commercial mortgage, asset-backed, CLO, tax and credit derivatives. Prior to joining BAS, Chris spent two years at Salomon Brothers Inc. as a Vice President and senior trader in residential mortgage securities, CMOs and derivatives. Chris began his career at CS First Boston where he was responsible for trading and structuring residential mortgage securities, CMOs and derivatives. Chris serves on the Board of Directors for Renovate America, a private clean energy finance and technology company based in San Diego as well as the Board of Directors for InterNex Capital, a digital asset-based lender located in New York City, which provides working capital financing to small and medium sized businesses. Chris graduated from the Carroll School of Management at Boston College in 1990 with a Bachelor of Science in Finance.



**Howard Marks**, CFA, is co-chairman of Oaktree Capital Management. Since Oaktree was founded in 1995, Mr. Marks has been responsible for ensuring the firm’s adherence to its core investment philosophy; communicating closely with clients concerning products and strategies; and contributing his experience to big-picture decisions relating to investments and corporate direction. From 1985 until 1995, Mr. Marks led the groups at The TCW Group, Inc. that were responsible for investments in distressed debt, high yield bonds, and convertible securities. He was also Chief Investment Officer for Domestic Fixed Income at TCW. Previously, Mr. Marks was with Citicorp Investment Management for 16 years, where from 1978 to 1985 he was Vice President and senior portfolio manager in charge of convertible and high yield securities. Between 1969 and 1978, he was an equity research analyst and, subsequently, Citicorp’s Director of Research. Mr. Marks holds a B.S.Ec. degree cum laude from the Wharton School of the University of Pennsylvania with a major in finance and an M.B.A. in accounting and marketing from the Booth School of Business of the University of Chicago, where he received the George Hay Brown Prize.



**Eric Peters** is the Founder, CEO, and CIO of One River Asset Management. In this role, he is responsible for directing the business development and strategic initiatives of the firm. Peter started his career in 1989 in Chicago and since then has developed a vast network. He has traveled the world and has held various positions over his 25-year financial career. While in London, Peters traded short term interest rates and currencies. He also worked for Lehman Brothers in New York trading money markets. In addition, he speaks broadly on Wall Street, sharing unique insights into what others on Wall Street are thinking and talking about.



**Christopher Pucillo** founded Solus Alternative Asset Management, which emerged out of Stanfield Capital Partners in July 2007. Prior to joining Stanfield, Mr. Pucillo was the Head of High Yield Loan Trading at Morgan Stanley. Mr. Pucillo's credit trading experience began during his seven-year tenure at Bankers Trust. In 1992, Chris moved to the loan trading desk, where he ran Leveraged Loan Trading and was one of the four founding members of the LSTA (Loan Syndications and Trading Association), created to expand the liquidity of the leveraged loan market. Mr. Pucillo received an MBA from The Wharton School of the University of Pennsylvania.

**Ross Stevens** founded Stone Ridge in 2012 and serves as CEO and member of the Investment Committee. Ross founded the New York Digital Investment Group (NYDIG) in 2017 and serves as its Executive Chairman. Ross received his PhD in Finance and Statistics from the University of Chicago (Booth) and his BSE in Finance from the University of Pennsylvania (Wharton). Ross founded and serves as the Advisory Board Chairman of the Stevens Center for Innovation in Finance at the University of Pennsylvania (Wharton).



**Daniel Stone**, Principal and Portfolio Manager, Daniel Stone is one of the co-founders of Ionic, where he focuses on managing the firm's interest rate, currency and credit strategies for the Volatility Funds. He has over 22 years of experience managing relative value arbitrage and long volatility strategies across multiple asset classes. Mr. Stone was formerly a Managing Director and Portfolio Manager in the US and European Volatility and Credit Arbitrage Group at Highbridge. He joined Highbridge in 1996 where he traded convertible bonds and equity, interest rate and credit derivatives. Mr. Stone earned an AB in Economics magna cum laude from Harvard College.



**Savita Subramanian** is a managing director, head of Global Environmental, Social & Governance (ESG) Research and head of U.S. Equity & Quantitative Strategy at BofA. She is responsible for determining forecasts for the S&P 500 and other major U.S. indices, recommending U.S. sector allocations for equities, developing and marketing the firm's quantitative equity strategy to institutional and individual clients. She also leads Global Research's Environmental, Social and Governance (ESG) efforts. Subramanian has been with the firm since 2001. She has been a ranked analyst in the Institutional Investor survey for the last nine years, currently ranking in three categories—Portfolio Strategy, Quantitative Research and Thematic Research. Prior to joining the firm, Subramanian was an analyst at Scudder Kemper Investments in New York and San Francisco. Subramanian received a bachelor's degree from U.C. Berkeley and an MBA from Columbia University.



**Kevin Warsh** serves as the Shepard Family Distinguished Visiting Fellow in Economics at the Hoover Institution and lecturer at the Stanford Graduate School of Business. He is an advisor to Duquesne Family Office and serves on the board of directors of UPS. Warsh is a member of the Group of Thirty (G30) and the Panel of Economic Advisers of the Congressional Budget Office (CBO). Warsh was on the Board of Governors of the Federal Reserve System from 2006 until 2011. Prior to his appointment to the Board, from 2002 until 2006, Warsh served as Special Assistant to the President for Economic Policy and Executive Secretary of the White House National Economic Council. Previously, Warsh was a member of the Mergers & Acquisitions department at Morgan Stanley & Co. in New York, serving as Vice President and Executive Director. Warsh received his A.B. from Stanford University and J.D. from Harvard Law School.



**Dean Curnutt** is Chief Executive Officer of Macro Risk Advisors, where he oversees the firm's plan to help clients achieve superior investment results by providing valuable market insight and efficient transaction execution. Prior to forming MRA, Dean was Managing Director and Head of Equity Sales-trading at Banc of America Securities, where he was a member of the Global Equities Management Team that set direction for the division. Previously at BofA, Dean was Head of Institutional Equity Derivatives and Convertible Sales. The equity derivatives team was ranked first in overall listed option market share in 2007 and was named the 2005 US Equity Derivatives House of the Year by Derivatives Week for its innovative work to create Options on Realized variance. Dean has presented on financial topics at the University of Chicago, the New York Society of Securities Analysts, the CBOE Risk Management Conference, and Risk Institute.



**Jonathan Ferro** is the co-anchor of the daily program "Bloomberg Surveillance" on Bloomberg Radio and the anchor of the daily program "Bloomberg Markets: The Open" and the weekly program "Bloomberg Real Yield" on Bloomberg Television. Ferro has interviewed numerous central bank officials from the Federal Reserve, European Central Bank, and the Bank of England, as well as many of the world's most influential business leaders. Before moving to New York, Ferro was based in Bloomberg's European headquarters in London, where he anchored "On The Move." He earned his Masters degree in International Political Economy from the University of Warwick, with distinction.



**James Grant** is the founder of Grant's Interest Rates Observer, a twice-monthly journal of financial markets. Grant's books include three financial histories, a pair of collections of Grant's articles and three biographies. Grant is a 2013 inductee into the Fixed Income Analysts Hall of Fame. He is a member of the Council on Foreign Relations and a trustee of the New-York Historical Society.



**Arthur Kaz** is the Founder, Chief Executive Officer, and Chief Investment Officer of Greenbriar Asset Management, LP ("Greenbriar"), an SEC-registered Hedge Fund based in Chicago. Greenbriar focuses on opportunistic investing throughout the capital structure, with an emphasis on stressed/distressed credit, event-driven, and special situations. Mr. Kaz has over 20 years of professional experience with active involvement in credit and distressed situations since 2001 and in alternative asset management since 2006. Notable investments in which he took a leadership role include the restructuring of several major automotive parts suppliers, a leading mortgage originator, and the reorganization of a major airline.





**Alix Steel** co-anchors the daily programs “Bloomberg Markets: Americas” and “Bloomberg Markets: European Close.” Steel also anchors the weekly show “Bloomberg Commodities Edge,” a 30-minute program dedicated to the biggest names and news in the commodity world. Steel has interviewed numerous influential business leaders. Prior to joining Bloomberg in February 2012, Steel was a senior multimedia reporter, anchor, and producer for TheStreet, where she focused on global markets. Steel also co-anchored a live trading show, “Morning Call,” for TheStreet and T3Live.com. She is a multiple SABEW Award nominee. Steel graduated cum laude with a bachelor’s degree in Communications from Northwestern University and has studied business journalism at New York University.



**Andrew Tsai** is a member of the senior leadership team at PDT Partners and the Co-Founder and Chairman of Chalkstream Capital Group. Prior to founding Chalkstream in 2003, Mr. Tsai worked as CEO of GNR Ltd, a technology infrastructure company based in London, and before that as President and Director of Urbanfetch Ltd, an internet logistics firm based in New York and London. Mr. Tsai was formerly a co-founder and Chief Investment Officer of Integrity Capital Management, a hedge fund that employed quantitative long/short strategies in equities, fixed income, currency and commodity markets. Prior to Integrity, Mr. Tsai was a Vice President and Head of the German Government Fixed-Income Trading Operations at Lehman Brothers International (London) and was on the Global Arbitrage team at Lehman Brothers Inc. (New York).



**Stephen Warren** is a Managing Director and Head of Quantitative Analysis and Risk Management for PGIM Fixed Income. He is responsible for overseeing the development of proprietary yield curve, credit and risk models, as well as all aspects of portfolio risk management including risk budgeting, tracking and analysis for portfolios, and for performance analysis, attribution, data integrity and pricing. Prior to joining the Firm in 2016, Mr. Warren was the Global Head of Portfolio Construction and Risk Management for the Fixed Income and Liquidity Management teams at Goldman Sachs Asset Management (GSAM). At GSAM, he was also a member of the Cross-Sector team, responsible for asset allocation within fixed income portfolios, and a member of the Fixed Income Strategy Group (FISG). Earlier in his career at GSAM, Mr. Warren worked as a portfolio manager on several strategy teams, including the government, asset-backed security, mortgage and corporate trading desks. Mr. Warren received a B.S.E., magna cum laude, in economics from the University of Pennsylvania, and a Master’s degree in finance, with distinction, from London Business School.